# What Does Thayer Financial L.L.C. Do With Your Personal Information?

## WHY?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

## WHAT?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- Account balances, account transactions, and transaction history
- Investment experience, income, and assets

When you are no longer our customer, we continue to share your information as described in this notice.

## HOW?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Thayer Financial L.L.C. chooses to share; and whether you can limit this sharing.

| ***Reasons we can share your personal information*** | ***Do we share?*** | ***Can you limit this sharing?*** |
|---|---|---|
| **For our everyday business purposes:** Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| **For our marketing purposes:** To offer our products and services to you | Yes | No |
| **For joint marketing with other financial companies** | No | We don't share |
| **For our affiliates' everyday business purposes:** Information about your transactions and experiences | No | We don't share |
| **For our affiliates' everyday business purposes:** Information about your creditworthiness | No | We don't share |
| **For nonaffiliates to market to you** | No | We don't share |

## WHO WE ARE

| | |
|---|---|
| **Who is providing this notice?** | Thayer Financial L.L.C. |

## WHAT WE DO

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|---|---|
| **How does Thayer Financial L.L.C. protect my personal information?** | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings, as well as other physical, electronic and procedural safeguards. |
| **How does Thayer Financial L.L.C. collect my personal information?** | We collect your personal information, for example, when you<br>• Enter into an investment advisory contract<br>• Provide account information<br>• Open an account<br>• Seek advice about your investments<br>• Tell us about your investment or retirement portfolio |
| **Why can't I limit all sharing?** | Federal law gives you the right to limit only<br>• Sharing for affiliates' everyday business purposes—information about your creditworthiness<br>• Affiliates from using your information to market to you<br>• Sharing for nonaffiliates to market to you<br>State laws and individual companies may give you additional rights to limit sharing. |

## DEFINITIONS

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|---|---|
| **Affiliates** | Companies related by common ownership or control. They can be financial and nonfinancial companies.<br>• Thayer Financial L.L.C. has no affiliates. |
| **Nonaffiliates** | • Companies not related by common ownership or control. They can be financial and nonfinancial companies.<br>• Thayer Financial L.L.C. does not share with nonaffiliates so they can market to you |
| **Joint marketing** | A formal agreement between nonaffiliated financial companies that together market financial products or services to you.<br>• Thayer Financial L.L.C. doesn't jointly market |

*Thayer Financial L.L.C. may also share your personal information with a successor advisor if necessary in connection with the unexpected death or incapacity of its principal owner(s), as well as in connection with the eventual retirement or other change in control of the firm.*